



**RAH Financial**  
Financial Staffing Solutions

**2006 Washington State Money Managers  
Ranking List (by AUM)**

**Total AUM: \$151,428,000,000**

**Financial Services Executive Recruiting**

**Roger A. Hendrix, Sr.**

**President**

**RAH Financial**

(253) 277-3731 Office

(206) 679-5955 Mobile

(253) 981-4939 Fax

E-mail: [roger@RAHfinancial.com](mailto:roger@RAHfinancial.com)

Web Site: [www.RAHfinancial.com](http://www.RAHfinancial.com)

**Assets are in millions and are as of November 30, 2006**

<b>Rank</b>	<b>Manager</b>	<b>Manager Type</b>	<b>City</b>	<b>Assets</b>
198	Abraham & Co., Inc.	Wealth Mgm't	Gig Harbor	\$2
12	Accessor Capital Mgm't	Money Mgm't/Mutual Fund Spec.	Seattle	\$2,600
165	Adams Financial Concepts, LLC	Wealth Mgm't	Seattle	\$16
184	Alki Capital Mgm't, LLC	Hedge Fund Mgm't	Bellevue	\$8
68	American Marine Bank	Wealth/Trust Mgm't	Bainbridge Island	\$300
166	Anderson-Burk, Inc.	Wealth Mgm't	Lynnwood	\$16
185	Apex Advisory Group, Inc.	Wealth Mgm't	Spokane	\$8
58	Appropriate Balance Financial Services, Inc.	Wealth Mgm't	Bellevue	\$382
95	Arcadia Investment Advisors, LLC	Wealth Mgm't	Vancouver	\$117
186	Archon Capital Mgm't, LLC	Hedge Fund Mgm't	Seattle	\$7
169	Ariston Capital Mgm't Corp.	Money Mgm't/Mutual Fund Spec.	Bellevue	\$15
155	Asset Planning Mgm't, Inc.	Wealth Mgm't	Spokane	\$23
24	Badgley, Phelps & Bell, Inc.	Wealth Mgm't	Seattle/Portland	\$1,440
85	Baker Ellis Asset Mgm't	Wealth Mgm't	Seattle/Portland	\$164
8	Bank of America Private Bank	Wealth/Trust Mgm't	Seattle/Bellevue/Tacoma	\$5,000
123	Banner Investment Consultants	Wealth Mgm't	Seattle	\$53
162	Bayside Asset Mgm't, LLC	Wealth Mgm't	Tacoma	\$19
93	Bellevue Asset Mgm't, LLC	Wealth Mgm't	Bellevue	\$134
41	Bernstein Investment Research & Mgm't	Wealth Mgm't/Broker-Dealer	Seattle	\$800
151	Bozarth Investment Management, Inc.	Wealth Mgm't/Broker-Dealer	Spokane	\$27
38	Brighton Jones, LLC	Wealth Mgm't	Seattle	\$907
64	Bristlecone Advisors, LLC	Wealth Mgm't	Seattle	\$336

19	Burk Capital Mgm't, Inc.	Wealth Mgm't	Edmonds	\$1,880
77	Capital Planning Corporation	Wealth Mgm't	Bellevue	\$210
153	Century Capital Mgm't	Wealth Mgm't	Bellevue	\$25
136	Chaney Capital Mgm't	Wealth Mgm't	Edmonds	\$42
201	Childress Investment Mgm't	Wealth Mgm't	Bellevue	\$1
156	CIM Financial Group, Inc.	Wealth Mgm't	Edmonds	\$22
89	CMC Advisers, LLC	Wealth Mgm't	Portland	\$150
181	CMG Advisors, LLC	Hedge Fund Mgm't	Seattle	\$9
32	Coldstream Capital Mgm't, Inc.	Wealth Mgm't	Bellevue/Portland	\$1,100
177	Collier Financial Group	Wealth Mgm't	Bothell	\$10
62	Columbia Bank Private Client Group	Wealth/Trust Mgm't	Seattle/Bellevue/Tacoma	\$345
132	Compass Investment Partners, LLC	Wealth Mgm't/401k Specialist	Federal Way/Ketchum, ID	\$47
75	Comprehensive Wealth Mgm't	Wealth Mgm't	Lynnwood	\$232
87	Conlon & Dart, LLC	Wealth Mgm't	Seattle	\$159
80	Conway Jarvis & Associates, LLC	Wealth Mgm't	Seattle	\$201
20	Cornerstone Advisors, Inc.	Wealth Mgm't	Bellevue	\$1,700
110	Cotton Financial Advisors, Inc.	Wealth Mgm't	Lynnwood	\$76
46	CTC Consulting, Inc. (Unit of US Trust)	Wealth Mgm't	Seattle/Portland	\$543
163	DH Partners, LLC	Wealth Mgm't	Seattle	\$19
192	Dowling Investment Group, Inc.	Wealth/Hedge Fund Mgm't	Bellevue	\$4
90	Eagle Harbor Asset Mgm't	Wealth Mgm't	Seattle	\$150
112	Elliott Cove Cap. Mgm't	Wealth Mgm't	Seattle	\$71
66	Empirical Wealth Mgm't, LLC	Wealth Mgm't	Seattle/Portland	\$312
133	Evans Capital Mgm't Associates	Wealth Mgm't	Seattle	\$45
52	Evergreen Capital Mgm't, LLC	Wealth Mgm't	Bellevue	\$474
61	Evertrust Asset Mgm't	Wealth/Trust Mgm't	Seattle	\$350
43	Fiduciary Asset Mgm't Company	Hedge Fund Mgm't	Seattle	\$650
182	Financial & Estate Advisors, Inc.	Wealth Mgm't	Bothell	\$9
187	Financial & Estate Strategies, Inc.	Wealth Mgm't	Bellevue	\$6
127	Financial Asset Mgm't, Ltd	Wealth Mgm't	Seattle	\$50
131	Financial Insights, Inc.	Wealth Mgm't	Tacoma	\$48
121	Financial Research, Inc.	Wealth Mgm't	Issaquah	\$57
39	Financial Security Group, LLC	Wealth Mgm't	Seattle	\$831
118	First Washington Corporation	Wealth Mgm't	Seattle	\$61
102	Fleckenstein Capital, Inc.	Hedge Fund Mgm't	Issaquah	\$97
196	Focus Capital Mgm't, LLC	Wealth/Hedge Fund Mgm't	Seattle	\$3
18	Freestone Capital Mgm't, Inc.	Wealth/Hedge Fund Mgm't	Seattle	\$1,900
124	Friedman Putter & Company	Wealth Mgm't	Bellevue	\$53
84	Frontier Bank	Wealth/Trust Mgm't	Seattle/Everett	\$170
86	Future Select Portfolio Mgm't, Inc.	Wealth Mgm't	Redmond	\$164
91	Garland Capital Mgm't, Inc.	Wealth Mgm't	Bellevue	\$143
53	Genesee Investments, LLC	Hedge Fund Mgm't	Bellevue	\$436
94	Genesis Capital, LLC	Hedge Fund Mgm't	Gig Harbor	\$124
16	Goldman, Sachs & Co.	Wealth Mgm't	Seattle	\$2,100
109	Gregory Lyle & Company, Inc.	Wealth Mgm't	Bellevue	\$80
139	Guardian Capital, Inc.	Wealth Mgm't	Mukilteo	\$38
34	GW Capital, Inc.	Institutional Money Mgr	Bellevue	\$1,050

137	Hamrick Investment Counsel, LLC	Wealth Mgm't	Seattle	\$42
83	Harbour Investment Mgm't, LLC	Wealth Mgm't	Everett	\$185
126	Harbour Pointe Financial Advisors, LLC	Wealth Mgm't	Mukilteo	\$51
88	Harvest Capital Advisors, Inc.	Wealth Mgm't	Bellevue	\$151
65	Highland Capital Mgm't, LLC	Wealth Mgm't	Bellevue	\$330
81	Horizon Asset Mgm't, LLC	Wealth Mgm't	Seattle	\$195
23	ICM Asset Mgm't, Inc.	Wealth/Hedge Fund Mgm't	Spokane	\$1,460
40	IMS Capital Mgm't	Money Mgm't/Mutual Fund Spec.	Seattle/Portland	\$809
130	Informed Investors Group	Wealth Mgm't	Seattle	\$50
116	Investment & Retirement Advisors	Wealth Mgm't	Seattle	\$62
69	JP Morgan Chase Private Bank	Wealth/Trust Mgm't	Seattle	\$300
178	K.L. Baird Asset Mgm't	Wealth Mgm't	Kirkland	\$10
159	KAS Investment Consultants	Wealth Mgm't	Bellevue	\$20
49	Ken Roberts Investment Mgm't, Inc.	Wealth Mgm't	Spokane	\$520
128	Kerwin-Beley Associates, Inc.	Wealth Mgm't	Mill Creek	\$50
51	Key Private Bank	Wealth/Trust Mgm't	Seattle/Tacoma	\$475
25	Kibble & Prentice Holding Company	Wealth Mgm't	Seattle	\$1,410
27	KMS Financial Services, Inc.	Broker Dealer, Investm't Advisor	Seattle	\$1,350
199	Kulshan Capital Mgm't, LLC	Hedge Fund Mgm't	Seattle	\$2
47	Kunath Karren Rinne & Atkin, Inc.	Wealth Mgm't	Seattle	\$532
9	Laird Norton Tyee Asset Strategies, LLC	Wealth Mgm't	Seattle	\$4,300
146	Lake Union Capital Mgm't, LLC	Hedge Fund Mgm't	Seattle	\$29
173	Lakeridge Financial Partners, LLC	Wealth Mgm't	Seattle	\$12
96	Lakeside Advisors, Inc.	Wealth Mgm't	Seattle	\$114
117	Lesa Sroufe & Company, Inc.	Wealth Mgm't	Seattle	\$62
191	Light Index Investment Company, Inc.	Money Mgm't/Mutual Fund Spec.	Tacoma	\$5
147	Lucid Advisors, Inc.	Wealth Mgm't	Seattle	\$29
33	Lydian Wealth Mgm't Company, LLC	Wealth Consultant	Kirkland	\$1,070
138	M. Allison Beezer, CFA	Wealth Mgm't	Seattle	\$40
200	Madrona Partners, Inc.	Wealth/Hedge Fund Mgm't	Seattle	\$2
149	Managed Capital Growth	Wealth Mgm't	Poulsbo	\$28
115	Marcia Joslyn Sill, Inc.	Wealth Mgm't	Seattle	\$63
97	Marshall & Sullivan, Inc.	Wealth Mgm't	Seattle	\$113
11	Mastholm Asset Mgm't, LLC	Money Mgm't/Mutual Fund Spec.	Bellevue	\$2,800
79	McAdams Wright Ragen	Broker Dealer, Investm't Advisor	Seattle	\$205
107	McCormick Capital Mgm't	Money Mgm't/Mutual Fund Spec.	Seattle	\$83
141	McRae Capital Mgm't, LLC	Wealth Mgm't	Edmonds	\$35
29	Mellon Private Wealth Mgm't	Wealth/Trust Mgm't	Seattle/Tacoma	\$1,200
167	Mercer Asset Mgm't, Inc.	Wealth Mgm't	Bainbridge Island	\$16
30	Merriman Capital Mgm't, Inc.	Wealth Mgm't	Seattle	\$1,200
171	Michael Pace, CFP	Wealth Mgm't	Seattle	\$14
113	Morton Clarke Fu & Metcalf, Inc.	Wealth Mgm't	Seattle	\$71
98	National Asset Mgm't, Inc.	Wealth Mgm't/Broker-Dealer	Seattle	\$110
125	Nelson Financial Services, Inc.	Wealth Mgm't	Bellevue	\$52
160	Newground Social Investment	Wealth Mgm't	Seattle	\$20
31	Northern Trust Bank	Wealth/Trust Mgm't	Seattle	\$1,200
135	Oliver Capital Mgm't, Inc.	Wealth Mgm't	Seattle	\$44

105	Olson Investment Advisors, LLC	Wealth Mgm't	Seattle	\$89
72	Pacific Asset Mgm't, LLC	Wealth Mgm't	Kirkland	\$258
82	Pacific Investment Advisors, LLC	Wealth Mgm't	Portland	\$192
37	Pacific Portfolio Consulting, LP	Wealth Mgm't	Seattle	\$920
55	Pacific West Financial Consultants, Inc.	Wealth Mgm't/Broker-Dealer	Renton	\$419
104	Paladin Investment, LLC	Wealth Mgm't	Bellevue	\$94
106	Paracle Advisors, LLC	Wealth Mgm't	Bellevue	\$87
63	Paradigm Partners, NW, Inc.	Wealth/Hedge Fund Mgm't	Tacoma	\$339
35	Paragon Investment Mgm't, Inc.	Wealth Mgm't	Seattle	\$1,042
1	Parametric Portfolio Associates	Money Mgm't/Mutual Fund Spec.	Seattle	\$18,900
142	Paysse Jennison, Inc.	Wealth Mgm't	Mercer Island	\$32
140	Pegasus Investment Mgm't, LLC	Wealth/Hedge Fund Mgm't	Bainbridge Island	\$36
114	Pengra Capital Mgm't, Inc.	Wealth Mgm't	Seattle	\$68
74	Personal Investment Mgm't, Inc.	Wealth Mgm't	Bellevue	\$245
67	Petersen Hastings Investment Mgm't, Inc.	Wealth Mgm't	Kennewick	\$308
119	Peterson Investment Mgm't, Inc.	Wealth Mgm't	Spokane	\$60
179	Polaris Asset Mgm't, LLC	Wealth Mgm't	Seattle	\$10
158	Portfolio Advisors Group, Inc.	Wealth Mgm't	Gig Harbor	\$21
44	Portfolio Strategies, Inc.	Wealth Mgm't	Tacoma	\$618
5	Prime Advisors, Inc.	Wealth Mgm't/Instit. Fixed Income	Redmond/Bloomfield, CT	\$7,300
103	Private Asset Mgm't	Wealth Mgm't	Bellevue	\$96
76	Progressive Investment Mgm't	Wealth Mgm't	Portland/Seattle	\$227
161	Prosperity Capital	Wealth Mgm't	Bellevue	\$20
36	Pugh Capital Mgm't, Inc.	Institutional Fixed Inc. Money Mgr	Seattle	\$1,000
2	Quellos Capital Mgm't, LP	Wealth/Hedge Fund Mgm't	Seattle	\$16,030
176	R. W. Mak Investments	Wealth Mgm't	Bellevue	\$11
154	R.B. Hooper & Company, Inc.	Wealth Mgm't	Seattle	\$24
54	Rainier Group Investment Advisory, LLC	Wealth Mgm't	Bellevue/Portland	\$422
4	Rainier Investment Mgm't, Inc.	Wealth Mgm't	Seattle	\$7,800
92	Ramsey & Associates, Inc.	Wealth Mgm't	Seattle	\$140
195	Reed Global Investments, LLC	Wealth/Hedge Fund Mgm't	Bellevue	\$4
15	Rigel Capital, LLC	Wealth Mgm't	Seattle	\$2,200
42	Rotella Capital Mgm't, Inc.	Hedge Fund Mgm't	Kirkland	\$719
26	Russell Investment Group	Wealth/Hedge Fund Mgm't	Tacoma	\$1,358
111	S.R. Schill & Assoc., Inc.	Wealth Mgm't	Mercer Island	\$75
120	Sander Capital Advisors	Wealth Mgm't	Seattle	\$58
60	Saturna Capital Mgm't	Money Mgm't/Mut'l Fnd Spec/B-Dlr	Bellingham	\$377
168	Scott P. Hurst & Associates	Wealth Mgm't	Puyallup	\$16
50	SeaCap Investment Advisors	Wealth Mgm't	Seattle	\$486
193	Seattle Asset Mgm't, Inc.	Wealth Mgm't	Bainbridge Island	\$4
70	Seattle-Northwest Asset Mgm't, LLC	Fixed Inc Money Mgr/Public Fin.	Seattle	\$289
122	Shelgren Financial Group, Inc.	Wealth Mgm't	Renton	\$57
6	Silver Creek Capital Mgm't, LLC	Hedge Fund Mgm't	Seattle	\$7,060
174	Smart Portfolios, LLC	Wealth Mgm't	Lake Forest Park	\$12
175	Snider Retirement Strategies, Inc.	Wealth Mgm't	Bellevue	\$12
100	Sonata Capital Group, Inc.	Wealth Mgm't	Seattle	\$104
188	Sound Financial Advisors, Inc.	Wealth Mgm't	Seattle	\$6

157	Stapp Financial Planning, PLLC	Wealth Mgm't	Olympia	\$22
57	Steelhead Partners, LLC	Hedge Fund Mgm't	Seattle	\$417
172	Stewardship Concepts	Wealth Mgm't	Spokane	\$14
152	Stock Mgm't, LLC	Wealth Mgm't	Puyallup	\$25
48	Strategic Capital Group, LLC	Wealth Mgm't	Gig Harbor	\$529
164	Strategic Capital Mgm't, Inc.	Wealth Mgm't	Seattle	\$18
45	Strategic Wealth Mgm't, Inc.	Wealth Mgm't/Native Amer. Tribes	Mercer Island/Portland	\$562
148	Summit Capital Advisors	Wealth Mgm't	Tacoma	\$29
56	Summit Capital Mgm't, LLC	Wealth/Hedge Fund Mgm't	Seattle	\$418
99	Sweetwater Investments, Inc.	Wealth Mgm't/Native Amer. Tribes	Redmond	\$105
134	Synergy Financial Mgm't, LLC	Wealth Mgm't	Seattle	\$45
180	Tacoma Investment Group	Wealth Mgm't	Tacoma	\$10
73	Tahoma Capital, LLC	Hedge Fund Mgm't	Bellevue	\$247
59	The Harris Private Bank	Wealth/Trust Mgm't	Seattle	\$380
143	The Hedrick Company	Wealth Mgm't	Mercer Island	\$31
170	The Naarden Financial Group, PLLC	Wealth Mgm't/Tax Specialists	Pocatello	\$15
71	The Pacific Financial Group	Wealth Mgm't	Bellevue	\$273
194	The Planner's Edge	Wealth Mgm't	Mercer Island	\$4
78	The Whittier Trust Company of Washington	Wealth/Trust Mgm't	Seattle	\$210
189	Thome Financial Mgm't, LLC	Wealth Mgm't	Bellevue	\$6
145	Tieton Capital Management, LLC	Wealth Mgm't	Yakima	\$30
108	Trail Ridge Capital, LLC	Wealth/Hedge Fund Mgm't	Seattle/Denver	\$83
190	Trellis Advisors, LLC	Wealth Mgm't	Seattle	\$6
150	Turloff Financial Consulting, Inc.	Wealth Mgm't	Bainbridge Island	\$28
22	U.S. Trust of the Northwest	Wealth/Trust Mgm't	Seattle/Portland	\$1,500
10	UBS Financial Services, Inc.	Wealth Mgm't/Broker-Dealer	Seattle/Bellevue	\$3,100
28	Union Bank of California	Wealth/Trust Mgm't	Portland/Seattle/Tacoma	\$1,250
7	US Bank Private Client Group	Wealth/Trust Mgm't	Prtlnd/Seattle/Cour de L'ne	\$6,100
183	W.E. Nightingale & Co., Inc.	Wealth Mgm't	Seattle	\$9
101	Wakley & Robertson, Inc.	Wealth Mgm't	Bellevue	\$102
144	Warga Asset Mgm't	Wealth Mgm't	Bellevue	\$30
13	Washington Capital Mgm't, Inc.	Wealth Mgm't	Seattle	\$2,530
14	Washington Trust Bank	Wealth/Trust Mgm't	Ptld/Seattle/Spokane/Boise	\$2,500
129	Waycross Investment Mgm't Company	Wealth Mgm't	Bellingham	\$50
17	Wells Fargo Private Client Services	Wealth/Trust Mgm't	Seattle/Tacoma/Bellevue	\$2,100
3	Wentworth, Hauser & Violich	Private/Institutional Wealth Mgm't	Seattle/San Francisco	\$7,900
197	Wilkison Investment Services	Wealth Mgm't	Bellevue	\$3
21	Zevenbergen Capital Investments, LLC	Wealth Mgm't	Seattle	\$1,560