



RAH Financial
Financial Staffing Solutions

2009 Washington State Money Managers Ranking List (by AUM)

Total AUM: \$314,903,000,000 (updated 02/11/10)

Year-over-year increase in AUM = \$ green

Financial Services Executive Recruiting

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Assets are in millions and are as of December 31, 2009

Rank	Manager	Manager Type	City	Assets
322	Abraham & Co., Inc.	Wealth Mgm't	Gig Harbor	\$1
151	Absolute Return Solutions, Inc.	Wealth Mgm't	Redmond	\$94
278	Adams Financial Concepts, LLC	Wealth Mgm't	Seattle	\$13
287	Adele Brady Bolson	Wealth Mgm't/CPA	Bellevue	\$10
225	Advocate Wealth Mgm't, LLC	Wealth Mgm't	Sequim	\$32
236	Alki Capital Mgm't, LLC	Hedge Fund Mgm't	Bellevue	\$28
294	Alliant Securities, Inc.	Wealth Mgm't	Spokane	\$8
196	Allison Spielman Advisors, LLC	Wealth Mgm't	Bellevue	\$52
79	American Marine Bank	Wealth/Trust Mgm't	Bainbridge Island	\$300
118	American Northwest Advisory Svcs, LLC	Wealth Mgm't	Federal Way	\$148
253	Andrew Watkins & Associates, Inc.	Wealth Mgm't	Sequim	\$24
312	Apex Advisory Group, Inc.	Wealth Mgm't	Spokane	\$5
69	Appropriate Balance Financial Services, Inc.	Wealth Mgm't	Bellevue	\$390
129	Arcadia Investment Advisors, LLC	Wealth Mgm't	Vancouver	\$130
125	Archon Capital Mgm't, LLC	Hedge Fund Mgm't	Seattle	\$133
314	Ariston Capital Mgm't Corp.	Money Mgm't/Mutual Fund Spec.	Bellevue	\$5
217	Asset Planning & Management, Inc.	Wealth Mgm't	Spokane	\$35
119	Auxano Advisors, LLC	Wealth Mgm't	Kirkland	\$147
111	BAC Capital Advisors, LLC	Wealth Mgm't	Seattle	\$160
29	Badgley, Phelps & Bell, Inc.	Wealth Mgm't	Seattle/Portland	\$1,480
91	Baker Ellis Asset Mgm't	Wealth Mgm't	Seattle/Portland	\$246
229	Banner Investment Consultants	Wealth Mgm't	Anacortes	\$28

182	Bay City Financial Services, Inc.	Financial Services	Bellingham	\$62
210	BBJS Financial Advisors, LLC	Wealth Mgm't	Seattle	\$43
261	BBM Financial Services, Inc.	Wealth Mgm't	Yakima	\$20
128	BECU Trust Company	Wealth/Trust Mgm't	Seattle	\$130
136	Bellevue Asset Mgm't, LLC	Wealth Mgm't	Bellevue	\$119
34	Benchmark Plus	Wealth/Hedge Fund Mgm't	Tacoma	\$1,176
24	Bernstein Investment Research & Mgm't	Wealth Mgm't/Broker-Dealer	Seattle	\$1,900
298	Birmiwal Asset Management, Inc.	Mutual Fund/Hedge Fund	Bellevue	\$7
15	Blackrock, Inc. (formerly Quellos)	Wealth Mgm't/Alternative Investm.	Seattle/Multiple Offices	\$2,700
38	BNY Mellon Wealth Mgm't	Wealth/Trust Mgm't	Seattle/Tacoma	\$1,100
177	Bogdanovich Wealth Management, PLLC	Wealth Mgm't	Seattle	\$65
135	Bondstreet Wealth Management, LLC	Wealth Mgm't	Everett	\$120
248	Bozarth Investment Management, Inc.	Wealth Mgm't/Broker-Dealer	Spokane	\$25
292	BR Capital, Inc.	Wealth Mgm't	Vancouver	\$9
320	Brackenwood Capital Management	Wealth Mgm't	Seattle	\$2
26	Brighton Jones, LLC	Wealth Mgm't	Seattle/San Francisco	\$1,600
66	Bristlecone Advisors, LLC	Wealth Mgm't	Seattle	\$427
206	Buckley Investment Group, LLC	Wealth Mgm't	Walla Walla	\$46
215	Bush Polen & Associates, Inc.	Wealth Mgm't/Broker-Dealer	Gig Harbor	\$38
205	C.S. Anderson Financial Services, Inc.	Wealth Mgm't	Bainbridge Island	\$47
300	Cannon & Associates, LLC	Wealth Mgm't	Tacoma	\$7
114	Capital Planning Corporation	Wealth Mgm't	Bellevue	\$155
283	Catton Financial Services	Wealth Mgm't	Olympia	\$12
275	Cayton Wealth Management	Wealth Mgm't	Wenatchee	\$15
245	Century Capital Mgm't	Wealth Mgm't	Bellevue	\$26
193	Chaney Capital Mgm't	Wealth Mgm't	Edmonds	\$53
325	Childress Investment Mgm't	Wealth Mgm't	Bellevue	\$1
207	Christensen, King & Assoc. Investment Svcs.	Wealth Mgm't	Richland	\$45
279	CIM Financial Group, Inc.	Wealth Mgm't	Edmonds	\$13
324	CMG Advisors, LLC	Hedge Fund Mgm't	Seattle	\$1
302	Coast Capital Management, LLC	Wealth Mgm't	Bainbridge Island	\$7
44	Coldstream Capital Mgm't, Inc.	Wealth Mgm't	Bellevue/Portland	\$803
323	Collier, LLC	Wealth Mgm't	Seattle	\$1
72	Columbia Bank Private Client Group	Wealth/Trust Mgm't	Seattle/BI'vue/Tacoma/Ptld	\$355
92	Columbia Pacific Advisors, LLC	Hedge Fund Mgm't	Seattle	\$241
185	Compass Investment Partners, LLC	Wealth Mgm't/401k Specialist	Federal Way/Ketchum, ID	\$59
98	Comprehensive Wealth Mgm't	Wealth Mgm't	Lynnwood	\$210
112	Conlon & Dart, LLC	Wealth Mgm't	Seattle	\$159
47	Convergent Wealth Advisors, LLC	Wealth Consultant	Kirkland/Multi-state offices	\$710
100	Conway Jarvis & Associates, LLC	Wealth Mgm't	Seattle	\$193
284	Core Capital Advisors, LLC	Wealth Mgm't	Olympia	\$11
25	Cornerstone Advisors, Inc.	Wealth Mgm't	Bellevue	\$1,740
240	Cottle & Swanson, CPA's, PLLC	Wealth Mgm't/Tax Services	Bellevue	\$27
197	Crosswater Investment Advisors, Inc.	Wealth Mgm't	Bellevue	\$51
282	D.G. Elliott Financial Services, Inc.	Wealth Mgm't	Seattle	\$12
280	Dale Q. Rice Investment Advisor, LTD	Wealth Mgm't	Vancouver	\$13
45	D'Annunzio Consulting Group, Inc.	Wealth Mgm't	Tacoma	\$757

216	DBA, SCS Systems	Wealth Mgm't	East Sound	\$36
222	Dental Wealth Advisors, LLC	Wealth Mgm't	Kirkland	\$33
233	DH Partners, LLC	Wealth Mgm't	Seattle	\$28
277	Dightman Capital Group, Inc.	Wealth Mgm't	Seattle	\$14
317	Dowling Investment Group, Inc.	Wealth/Hedge Fund Mgm't	Bellevue	\$3
235	E.K. Riley Investments, LLC	Wealth Mgm't	Seattle	\$28
137	Eagle Harbor Asset Mgm't	Wealth Mgm't	Bainbridge Island	\$118
5	Edge Asset Mgm't (formerly WM Advisors)	Money Mgm't/Mutual Fund Mgrs.	Seattle	\$15,173
165	Elite Wealth Management, Inc.	Wealth Mgm't	Kirkland	\$75
172	Elliott Cove Cap. Mgm't	Wealth Mgm't	Seattle	\$68
70	Empirical Wealth Mgm't, LLC	Wealth Mgm't	Seattle/Portland	\$376
190	Evans Capital Mgm't Associates	Wealth Mgm't	Seattle	\$55
149	Evergreen Asset Mgm't, LLC	Wealth Mgm't	Gig Harbor	\$95
60	Evergreen Capital Mgm't, LLC	Wealth Mgm't	Bellevue	\$508
242	Evergreen Wealth Management Services, Inc.	Wealth Mgm't	Port Ludlow	\$26
63	Filament, LLC	Wealth Mgm't	Seattle	\$473
276	Financial & Estate Advisors, Inc.	Wealth Mgm't	Bothell	\$15
153	Financial Insights, Inc.	Wealth Mgm't	Tacoma	\$92
191	Financial Research, Inc.	Wealth Mgm't	Issaquah	\$55
105	Financial Services International Corp.	Broker Dealer, Investm't Advisor	Seattle	\$180
319	First National Investment Group, Inc.	Wealth Mgm't/Broker-Dealer	Gig Harbor	\$2
62	First Republic Bank	Wealth Mgm't	Seattle/Portland	\$480
133	First Washington Corporation	Wealth Mgm't	Seattle	\$122
256	Fleckenstein Capital, Inc.	Hedge Fund Mgm't	Seattle	\$23
181	Francis Michael Reps	Wealth Mgm't	Bellingham	\$62
130	Frederic T. Kutscher Associates, Inc.	Wealth Mgm't	Seattle	\$127
20	Freestone Capital Mgm't, Inc.	Wealth/Hedge Fund Mgm't	Seattle	\$2,000
198	Friedman Putter & Company	Wealth Mgm't	Bellevue	\$51
95	Frontier Bank/Trust Dept.	Wealth/Trust Mgm't	Seattle/Everett	\$224
131	Fulcrum Capital, LLC	Wealth Mgm't	Seattle	\$124
254	Gallagher Capital Corp.	Wealth Mgm't	Bainbridge Island	\$24
124	Garland Capital Mgm't, Inc.	Wealth Mgm't	Bellevue	\$135
122	Genesee Investments, LLC	Hedge Fund Mgm't	Bellevue	\$138
108	Genesis Capital, LLC	Hedge Fund Mgm't	Gig Harbor	\$165
21	Goldman, Sachs & Co.	Wealth Mgm't	Seattle	\$2,000
189	Goodrich & Associates, Inc.	Wealth Mgm't/Broker-Dealer	Bellingham	\$56
138	Great Northern Asset Management, LLC	Wealth Mgm't	Vancouver	\$116
68	Greene Wealth Management, LLC	Wealth Mgm't	Seattle	\$420
268	Gregory Lyle & Company, Inc.	Wealth Mgm't	Medina	\$18
297	Guardian Capital, Inc.	Wealth Mgm't	Mukilteo	\$8
200	Guardian Plus, Inc.	Wealth Mgm't	Bothell	\$51
33	GW Capital, Inc.	Institutional Money Mgr	Bellevue	\$1,300
101	Haberling Financial Group, Inc.	Wealth Mgm't	Kennewick	\$185
158	Hallett & Associates, P.S.	Wealth Mgm't	Port Angeles	\$82
293	Hamilton Fisher Wealth Management	Wealth Mgm't	Richland	\$9
173	Hamrick Investment Counsel, LLC	Wealth Mgm't	Seattle	\$68
263	Hana Road Capital, LLC	Wealth Mgm't/Hedge Fund	Seattle	\$19

102	Harbour Investment Mgm't, LLC	Wealth Mgm't	Everett	\$183
192	Harbour Pointe Financial Advisors, LLC	Wealth Mgm't	Mukilteo	\$53
150	Hart Capital Management, Inc.	Wealth Mgm't	Spokane	\$95
126	Harvest Capital Advisors, Inc.	Wealth Mgm't	Bellevue	\$131
167	HBC Financial Services, PLLC	Wealth Mgm't/Tax Services	Seattle	\$74
202	Helm Capital Management, LLC	Wealth Mgm't	Seattle	\$50
209	Helmsman Financial Group, LLC	Wealth Mgm't, Tax/Fin. Services	Woodinville	\$44
304	Heritage Benefits Management, LLC	Wealth Mgm't/Tax & Estate Svcs	Bellevue	\$6
88	Highland Capital Mgm't, LLC	Wealth Mgm't	Bellevue	\$272
213	Hollo & Associates, Inc.	Wealth Mgm't	Seattle	\$39
115	Horizon Asset Mgm't, LLC	Wealth Mgm't	Seattle	\$151
84	Huntington Steele, LLC	Wealth Mgm't	Seattle	\$283
80	ICM Asset Mgm't, Inc.	Wealth/Hedge Fund Mgm't	Spokane	\$295
86	IMS Capital Mgm't	Money Mgm't/Mutual Fund Spec.	Portland/Bellevue	\$279
220	Informed Investors Group	Wealth Mgm't/Socially Resp. Inv.	Seattle	\$34
252	Integrity Financial Corp.	Wealth Mgm't/Consulting Svcs.	Bellevue	\$25
212	Investment & Retirement Advisors (J.N. Bray)	Wealth Mgm't	Seattle	\$39
204	Investor Resources, Inc.	Wealth Mgm't	Bellevue/Port Orchard	\$47
110	James R. Martin Consulting, Inc.	Wealth Mgm't/Consulting Svcs.	Vancouver	\$163
82	JAV Capital Management, Inc.	Wealth Mgm't	Seattle	\$289
186	Johnson & Shute Investment Advisors, Inc.	Wealth Mgm't/Tax Services	Bellevue	\$57
77	JP Morgan Private Bank (AUM estimate only)	Wealth/Trust Mgm't	Seattle	\$300
299	K.L. Baird Asset Mgm't	Wealth Mgm't	Kirkland	\$7
269	KAS Investment Consultants	Wealth Mgm't	Bellevue	\$17
289	Kaufman Kampe Advisors, LLC	Wealth Mgm't	Mercer Island	\$10
85	Ken Roberts Investment Mgm't, Inc.	Wealth Mgm't	Spokane	\$281
183	Kerwin-Beley Associates, Inc.	Wealth Mgm't	Seattle	\$60
43	Key Private Bank	Wealth/Trust Mgm't	Seattle/Tacoma/Bellevue	\$850
17	Kibble & Prentice Holding Company	Wealth Mgm't	Seattle	\$2,560
28	KMS Financial Services, Inc.	Broker Dealer, Investm't Advisor	Seattle	\$1,490
255	Kolp & Hammack Personal Fin. Advisors, LLC	Wealth Mgm't/Tax Services	Bellevue	\$24
318	Kulshan Capital Mgm't, LLC	Hedge Fund Mgm't	Seattle	\$3
51	Kunath Karren Rinne & Atkin, Inc.	Wealth Mgm't	Seattle	\$628
13	Laird Norton Tye Asset(Strat./Trust Co, LLC)	Wealth Mgm't	Seattle	\$3,200
219	Lake Union Capital Mgm't, LLC	Hedge Fund Mgm't	Seattle	\$34
107	Lakeside Advisors, Inc.	Wealth Mgm't	Seattle	\$169
94	Lakeside Capital Management, LLC	Wealth Mgm't/Consulting Svcs.	Seattle	\$225
285	Larsen Financial Corp.	Wealth Mgm't	Spokane	\$11
148	Lattice Capital Management, LLC	Hedge Fund Mgm't	Kirkland	\$96
83	Lesa Sroufe & Company, Inc.	Wealth Mgm't	Seattle	\$285
274	Lifefocus Financial Advisors, LLC	Wealth Mgm't/Tax Services	Walla Walla	\$15
218	Light Green Advisors, LLC	Wealth Mgm't, Eco/Environment	Seattle	\$34
214	Limoges Investment Management, PC	Wealth Mgm't	Vancouver	\$39
127	Lucid Advisors, Inc.	Wealth Mgm't	Seattle	\$130
162	Ludeman Capital Management, Inc.	Wealth Mgm't	Bellingham	\$78
142	Marshall & Sullivan, Inc.	Wealth Mgm't	Seattle	\$102
32	Mastholm Asset Mgm't, LLC	Money Mgm't/Mutual Fund Spec.	Bellevue	\$1,339

226	Mavada Personal Wealth Management	Wealth Mgm't	Bellevue	\$30
55	McAdams Wright Ragen	Broker Dealer, Investm't Advisor	Seattle/Yakima	\$567
179	McBride Financial Advisors, LLC	Wealth Mgm't	Seattle	\$65
175	McCormick Capital Mgm't	Money Mgm't/Mutual Fund Spec.	Seattle	\$65
231	McRae Capital Mgm't, LLC	Wealth Mgm't	Edmonds	\$28
281	Melvin Love Investment Advisor	Wealth Mgm't	Longview	\$13
266	Mercer Asset Mgm't, Inc.	Wealth Mgm't	Bainbridge Island	\$19
35	Merriman, Inc.	Wealth Mgm't	Seattle	\$1,164
272	Michael Pace, CFP	Wealth Mgm't	Seattle	\$16
159	Miller Advisors, Inc.	Wealth Mgm't	Kirkland	\$81
8	Milliman, Inc.	Wealth Mgm't/Pension Consultants	Seattle/Multiple Offices	\$8,125
271	Moore Wealth Management, LLC	Wealth Mgm't	Seattle	\$17
113	Morton Clarke Fu & Metcalf, Inc.	Wealth Mgm't	Seattle	\$159
49	Moss Adams Wealth Advisors	Wealth Mgm't	Seattle	\$698
78	Mt. Eden Investment Advisors, LLC	Wealth Mgm't	Seattle	\$300
221	Nancy C. Nelson & Associates, Inc.	Wealth Mgm't	Olympia	\$34
71	National Asset Mgm't, Inc.	Wealth Mgm't/Broker-Dealer	Seattle/Multi-state Offices	\$372
247	Nelson Financial Services, Inc.	Wealth Mgm't	Bellevue	\$25
116	Newfocus Financial Group, LLC	Wealth Mgm't	Vancouver/Portland/S.F.	\$150
48	NLJ Advisors, Inc.	Wealth Mgm't	Port Angeles	\$707
76	Northern Capital Management, Inc.	Wealth Mgm't	Spokane	\$307
39	Northern Trust Bank	Wealth/Trust Mgm't	Seattle	\$1,100
67	Northwest Administrators, Inc.	Pension/Trust Administration	Seattle	\$424
59	Northwest Asset Management	Wealth Mgm't	Mercer Island	\$509
262	Northwest Financial Advisors, Inc. (Demars)	Wealth Mgm't	Spokane	\$20
307	Northwest Financial Choices	Wealth Mgm't/Tax Planning	Bellevue	\$5
164	Northwest Investment Consulting, Inc.	RIA/3rd Party Admin/Pension Cns.	Seattle	\$76
228	NR Smith & Associates, P.S.	Wealth Mgm't/Tax Services	Olympia	\$29
156	Oliver Capital Mgm't, Inc.	Wealth Mgm't	Seattle	\$88
169	Olson Investment Advisors, LLC	Wealth Mgm't	Seattle	\$73
103	Pacific Asset Mgm't, LLC	Wealth Mgm't	Port Orchard	\$180
288	Pacific Horizon Investment Management, LLC	Wealth Mgm't	Seattle	\$10
40	Pacific Portfolio Consulting, LP	Wealth Mgm't	Seattle	\$1,066
53	Pacific West Financial Consultants, Inc.	Wealth Mgm't/Broker-Dealer	Renton	\$604
154	Paladin Investment, LLC	Wealth Mgm't	Bellevue	\$91
121	Paracle Advisors, LLC	Wealth Mgm't	Mercer Island	\$145
36	Paragon Investment Mgm't, Inc.	Wealth Mgm't	Seattle	\$1,102
2	Parametric Portfolio Associates	Money Mgm't/Mutual Fund Spec.	Seattle	\$33,000
260	Partners Capital Management, Inc.	Wealth Mgm't	Spokane	\$20
313	Patricia E. Howe, Registered Inv. Advisor, LLC	Wealth Mgm't	Seattle	\$5
174	Paulson Investment Co., Inc.	Investment Banker/Broker-Dealer	Ptld-Based/4 WA Offices	\$67
241	Paysse Jennison, Inc.	Wealth Mgm't	Mercer Island	\$26
258	Pegasus Investment Mgm't, LLC	Wealth/Hedge Fund Mgm't	Bainbridge Island	\$21
230	Pengra Capital Mgm't, Inc.	Wealth Mgm't	Seattle	\$28
65	Perkins Coie Capital Management, LLC	Wealth Mgm't	Seattle	\$428
81	Personal Investment Mgm't, Inc.	Wealth Mgm't	Bellevue	\$289
73	Petersen Hastings Investment Mgm't, Inc.	Wealth Mgm't	Kennewick	\$340

176	Peterson Investment Mgm't, Inc.	Wealth Mgm't	Spokane	\$65
145	Pinnacle Wealth Management, LLC	Wealth Mgm't	Seattle	\$98
46	Pioneer Square Advisors, LLC	Wealth Mgm't	Seattle	\$727
270	PLM Investment Advisors, Inc.	Wealth Mgm't	Spokane	\$17
155	Polaris Asset Mgm't, LLC	Wealth Mgm't	Seattle	\$90
227	Ponderosa Wealth Management	Wealth Mgm't	Spokane	\$30
74	Portfolio 21 Investments, Inc.	Wealth Mgm't/Socially Resp. Inv.	Seattle/Portland	\$320
316	Portfolio Advisors Group, Inc.	Wealth Mgm't	Gig Harbor	\$4
90	Portfolio Strategies, Inc.	Wealth Mgm't	Tacoma	\$250
166	Potomac Investments, Inc.	Wealth Mgm't	Seattle/Virginia/Maine	\$75
7	Prime Advisors, Inc.	Wealth Mgm't/Inst Fixed Inc. Mgrs.	Redmond/Windsor, CT	\$9,600
237	Prism Capital Management, LLC	Wealth Mgm't	Sequim	\$27
170	Private Advisory Group	Wealth Mgm't	Redmond	\$70
160	Private Asset Mgm't	Wealth Mgm't	Bellevue	\$80
232	Professional Investment Mgm't Services, PLLC	Wealth Mgm't	Kennewick	\$28
109	Prospera Investment Advisors, LLC	Wealth Mgm't	Spokane/Blvue/Yak/Wenat	\$164
30	Pugh Capital Mgm't, Inc.	Institutional Fixed Inc. Money Mgr	Seattle	\$1,464
104	Purcell Advisory Services, LLC	Wealth Mgm't/Mgr of Mgrs	Tacoma	\$180
267	R. Brian Howell & Associates, Inc.	Wealth Mgm't	Tacoma	\$18
291	R. W. Mak Investments	Wealth Mgm't	Bellevue	\$9
75	R.A. Bench, Inc.	Wealth Mgm't	Seattle	\$318
52	Rainier Group Investment Advisory, LLC	Wealth Mgm't	Bellevue/Portland	\$625
3	Rainier Investment Mgm't, Inc.	Money Mgm't/Mutual Fund Mgrs.	Seattle	\$17,100
309	Rainy Day Planning, LLC	Wealth Mgm't/Tax Services	Renton	\$5
134	Ramsey & Associates, Inc.	Wealth Mgm't	Seattle	\$122
321	Reed Global Investments, LLC	Wealth/Hedge Fund Mgm't	Kirkland	\$1
31	Reliant Consulting & Research	Wlth Mgm't/Pension & Trust Cons.	Kingston	\$1,432
132	Retirement Asset Management, LLC	Wealth Mgm't	Bellevue/Bainbridge Isl.	\$123
56	Rigel Capital, LLC	Institutional/Private Asset Mgr	Seattle	\$550
144	Robinswood Financial, LLC	Wealth Mgm't	Kirkland	\$100
61	Rotella Capital Mgm't, Inc.	Hedge Fund Mgm't	Kirkland	\$500
195	Runde & Co., LLC	Wealth Mgm't	Seattle	\$52
1	Russell Investment Group	Wealth/Hedge Fund Mgm't	Tacoma	\$94,160
184	Sabin Investment Management, Inc.	Wealth Mgm't	Gig Harbor	\$60
201	Sander Capital Advisors	Wealth Mgm't	Seattle	\$50
14	Saturna Capital Corporation	Money Mgm't/Mut'l Fnd Spec/B-Dlr	Bellingham	\$2,715
178	Schill, S.R. & Assoc., Inc.	Wealth Mgm't	Mercer Island	\$65
106	Schmidt Financial Group, Inc.	Wealth Mgm't	Kirkland/Mtn View, CA	\$172
50	SeaCap Investment Advisors	Wealth Mgm't	Seattle	\$629
306	Seattle Asset Mgm't, Inc.	Wealth Mgm't	Bainbridge Island	\$6
238	Seattle Portfolio Company	Wealth Mgm't	Seattle	\$27
42	Seattle-Northwest Asset Mgm't, LLC	Fixed Inc Asset Manager	Seattle	\$949
157	Seidman Private Advisors, LLC	Wealth Mgm't	Seattle/Muiltiple Offices	\$83
188	Seneschal Advisors, LLC	Wealth Mgm't	University Place	\$57
163	Shelgren Financial Group, Inc.	Wealth Mgm't	Renton	\$77
251	Sherwood Investment Services	Wealth Mgm't	Redmond	\$25
89	Signia Capital Management, LLC	Wealth Mgm't	Spokane	\$269

9	Silver Creek Capital Mgm't, LLC	Hedge Fund Mgm't	Seattle	\$6,367
140	Smart Portfolios, LLC	Wealth Mgm't	Seattle	\$105
117	Smead Capital Management, Inc.	Money Mgm't/Mutual Fund Mgrs.	Seattle	\$148
168	Snider Retirement Strategies, Inc.	Wealth Mgm't	Bellevue	\$73
152	Sonata Capital Group, Inc.	Wealth Mgm't	Seattle	\$93
310	Sound Financial Advisors, Inc.	Wealth Mgm't	Seattle	\$5
224	Sound Investment Strategies, Inc.	Wealth Mgm't	Mukilteo	\$32
93	Soundmark Wealth Management, LLC	Wealth Mgm't	Kirkland	\$233
123	Soundview Advisors	Wealth Mgm't	Olympia	\$137
147	Sovereign Wealth Advisors, LLC	Wealth Mgm't	Bellevue	\$96
4	StanCorp Investment Advisers, Inc.	Wealth Mgm't/Inv. Advisors	Lynnwd/OR(HQ)/Multi-Ofc	\$15,458
259	Stapp Financial Planning, PLLC	Wealth Mgm't	Olympia	\$21
54	Steelhead Partners, LLC	Hedge Fund Mgm't	Seattle	\$567
265	Stewardship Concepts	Wealth Mgm't	Spokane	\$19
244	Stock Mgm't, LLC	Wealth Mgm't	Puyallup	\$26
264	Stolz & Associates, PS	Wealth Mgm't	Tacoma	\$19
303	Stonebridge Capital Management NW, LLC	Wealth Mgm't	Fox Island	\$7
120	Storehouse Partners/Bellevue Financial	Wealth Mgm't	Bellevue	\$147
305	Strategic Asset Management	Wealth Mgm't	Tacoma	\$6
58	Strategic Capital Group, LLC	Wealth Mgm't	Gig Harbor, L.A.	\$540
311	Strategic Capital Mgm't, Inc.	Wealth Mgm't	Seattle	\$5
96	Strategic Wealth Mgm't, Inc.	Wealth Mgm't/Native Amer. Tribes	Mercer Island/Portland	\$216
257	Summit Capital Advisors	Wealth Mgm't	Tacoma	\$23
57	Summit Capital Mgm't/Strategies, LLC	Wealth/Hedge Fund Mgm't	Seattle/Spokane	\$550
37	Sweetwater Investments, Inc.	Wealth Mgm't/Native Amer. Tribes	Redmond	\$1,100
141	Symetra Investment Services, Inc.	Wealth Mgm't/Insurance/Brkr-Dlr	Bellevue	\$102
194	Synergy Financial Mgm't, LLC	Wealth Mgm't	Seattle	\$52
295	Tacoma Investment Group	Wealth Mgm't	Tacoma	\$8
286	Tenex Capital Management, LLC	Wealth Mgm't	Bellevue	\$10
64	The Harris Private Bank	Wealth/Trust Mgm't	Seattle	\$468
243	The Hedrick Company	Wealth Mgm't	Mercer Island	\$26
97	The Pacific Financial Group	Wealth Mgm't	Bellevue	\$214
296	The Planner's Edge	Wealth Mgm't	Mercer Island	\$8
146	The Spangler Group, Inc.	Wealth Mgm't	Seattle	\$98
99	Third Stage Investment Group, LLC	Wealth Mgm't/Charitable Org.	Bellevue	\$207
290	Thome Financial Mgm't, LLC	Wealth Mgm't	Bellevue	\$9
27	Threshold Group, LLC	Wealth Mgm't/Family Office Svcs.	Gig Harbor/Portland/Phil.	\$1,550
171	Tieton Capital Management, LLC	Wealth Mgm't	Yakima	\$70
143	Tradewinds Capital Management, LLC	Wealth Mgm't	Bellingham	\$100
180	Trellis Advisors, LLC	Wealth Mgm't	Seattle	\$63
87	Triad Wealth Stewardship	Wealth Mgm't	Bellevue	\$276
246	Truenorth Financial Services, LLC	Wealth Mgm't	Seattle	\$26
239	Turloff Financial Consulting, Inc.	Wealth Mgm't	Bainbridge Island	\$27
11	U.S. Trust/Bank of America	Wealth/Trust Mgm't	Seattle/Multi-state Offices	\$5,250
10	UBS Financial Services, Inc.	Wealth Mgm't/Broker-Dealer	Seattle/Bellevue	\$6,000
301	Ulrich Wealth Management, Inc.	Wealth Mgm't	Federal Way	\$7
41	Union Bank of California	Wealth/Trust Mgm't	Portland/Seattle/Tacoma	\$1,050

12	US Bank Private Client Group	Wealth/Trust Mgm't	PrtInd/Seattle/Cour de L'ne	\$5,100
139	Vickerman & Driscoll Financial Advisors, Inc.	Wealth Mgm't/Tax Services	Spokane	\$111
199	Vintage Lane Venture Partners, LLC	Wealth Mgm't	Arlington	\$51
308	W.E. Nightingale & Co., Inc.	Wealth Mgm't	Bellevue	\$5
161	Wakley & Robertson, Inc.	Wealth Mgm't	Bellevue	\$78
203	WAMU Investments, Inc.	Wealth Mgm't	Seattle	\$50
211	Warga Asset Mgm't	Wealth Mgm't	Bellevue	\$41
16	Washington Capital Mgm't, Inc.	Wealth Mgm't	Seattle	\$2,600
18	Washington Trust Bank	Wealth/Trust Mgm't	Ptld/Seattle/Spokane/Boise	\$2,300
187	Waycross Investment Mgm't Company	Wealth Mgm't	Bellingham	\$57
223	Wealth Asset Managers/Nelson Securities	Wealth Mgm't	Spokane	\$32
273	Wealth-Builders, Inc.	Wealth Mgm't/Tax Services	Mercer Island	\$16
250	Wealthmark, LLC	Wealth Mgm't	Bellingham	\$25
23	Wells Fargo Private Client Services	Wealth/Trust Mgm't	Seattle/Tacoma/Bellevue	\$1,900
6	Wentworth, Hauser & Violich	Private/Institutional Wealth Mgm't	Seattle/San Francisco	\$11,562
22	Weyerhaeuser Asset Management, LLC	Wealth Mgm't/Pension/Profit-Shar.	Federal Way	\$1,945
249	White & Company Financial Planning, Inc.	Wealth Mgm't	Sunnyside	\$25
234	Wilhelm Financial Services, PLLC	Wealth Mgm't	Olympia	\$28
315	Wilkison Investment Services	Wealth Mgm't	Bellevue	\$4
208	Williams Palmer, LLC	Wealth Mgm't	Bellevue	\$45
19	Zevenbergen Capital Investments, LLC	Wealth Mgm't	Seattle	\$2,200

Last edited:02/11/10

Total AUM = \$314,903,000,000